# The structure of the maximal development for shock-forming 3D compressible Euler solutions 

Jared Speck with Leo Abbrescia

Vanderbilt University
September 14, 2023

## 3D compressible Euler flow

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- Two propagation phenomena: sound waves and transporting of vorticity/entropy
- Neither phenomena nor their coupling are apparent


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- Understand the Cauchy horizon (a null hypersurface emanating from the 'first singularity')
- Set up the shock development problem


## Remarks on 1D theory

For $1 D$ hyperbolic conservation laws, for small BV data, $\exists$ robust theory accommodating the formation of singularities and subsequent weak evolution:

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For $1 D$ hyperbolic conservation laws, for small BV data, $\exists$ robust theory accommodating the formation of singularities and subsequent weak evolution:

- Challis (1848)
- Stokes (1850s)
- Riemann (1860)
- Oleinik (1959)
- Zabusky (1962)
- Lax (1964)
- Glimm (1965)
- Keller-Ting (1966)
- Dafermos (1970)
- Smoller (1970)
- Liu (1974)
- John (1974)
- Klainerman-Majda (1980)
- Jenssen (2000)
- Chen-Feldman (2003)
- Bianchini-Bressan (2005)


## Riemann invariants

In 1D, isentropic $(s \equiv 0)$ compressible Euler:

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\underline{L \mathcal{R}_{-}}=0, \quad L \mathcal{R}_{+}=0
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- $\mathcal{R}_{ \pm}=v^{1} \pm F(\varrho)$ are Riemann invariants
- $F$ determined by equation of state $p=p(\varrho, s)$
- $L=\partial_{t}+\left(v^{1}+c\right) \partial_{1}$
- $\underline{L}=\partial_{t}+\left(v^{1}-c\right) \partial_{1}$
- $c=\sqrt{\frac{\partial p}{\partial \varrho}}=$ speed of sound $>0$


## Shocks for $1 D$ isentropic compressible Euler

Simple (with $\mathcal{R}_{-} \equiv 0$ ) isentropic ( $s \equiv 0$ ) plane waves form shocks through the same Riccati-type mechanism as in Burgers' equation $\partial_{t} \Psi+\Psi \partial_{x} \Psi=0$,

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- Picture is qualitatively different compared to Burgers' equation: Cauchy horizons.
- Cauchy horizons can rescue uniqueness of classical solutions. So far, this is understood only locally in the regime with transversal convexity.


## Maximal globally hyperbolic development for $1 D$ isentropic compressible Euler solutions



Figure: Local structure of MGHD for $\mathcal{R}_{+}$-dominated 1D isentropic compressible Euler solutions

## Multi-dimensions?

Rauch: in multi-dimensions, quasilinear hyperbolic systems are typically ill-posed for BV data

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- Detailed study of all the structures that can arise in singular flows
- Geometry plays a key role


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Hence, the modern (starting in late 1990s) approach in multi-dimensions:

- Detailed study of all the structures that can arise in singular flows
- Geometry plays a key role
- Relies on energy estimates, which are very difficult near singularities


## Multi-D shocks and singularities

- Majda (1980s)
- Alinhac (late 1990s)
- Christodoulou $(2007,2019)$
- Christodoulou-Miao (2014)
- Miao-Yu (2016)
- Holzegel-Luk-Speck-Wong (2016)
- Luk-Speck (2016, 2020s)
- Merle-Raphael-Rodnianski-Szeftel (2020s)
- Abbrescia-Speck (2020s)
- Buckmaster-lyer (2020s)
- Buckmaster-Drivas-Shkoller-Vicol (2020s)
- Ginsburg-Rodnianski (pre-print)
- (Luo-Yu) (irrotational rarefaction waves in 2D)
- Anderson-Luk (pre-print on Einstein-Euler)


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With Abbrescia, for open sets of data in 3D, we have given the first complete description of the full structure of the singular set and the Cauchy horizon

## Infinite density of the characteristics



Figure: Infinite density of the characteristics $\mathcal{P}_{u}$ on $\mathcal{B}$

## New results with L. Abbrescia



Figure: A localized subset of the maximal classical development and the shock hypersurface in Cartesian space

## Acoustical metric

The acoustical metric is tied to sound wave propagation.
Definition (The acoustical metric and its inverse)

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\begin{aligned}
\mathbf{g} & :=-d t \otimes d t+c^{-2} \sum_{a=1}^{3}\left(d x^{a}-v^{a} d t\right) \otimes\left(d x^{a}-v^{a} d t\right), \\
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Material derivative vectorfield $\mathbf{B}$ is $\mathbf{g}$-timelike and thus transverse to acoustically null hypersurfaces:

$$
\mathbf{g}(\mathbf{B}, \mathbf{B})=-1
$$

## Acoustic eikonal function

## Definition (The acoustic eikonal function)

The acoustic eikonal function $u$ solves:

$$
\begin{array}{rlr}
\left(\mathbf{g}^{-1}\right)^{\alpha \beta} \partial_{\alpha} u \partial_{\beta} u & =0, \\
\left.u\right|_{t=0} & =-x^{1}, \quad \partial_{t} u>0
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## Definition (Geometric coordinates)

We refer to $\left(t, u, x^{2}, x^{3}\right)$ as the geometric coordinates.

## Inverse foliation density

## Definition

$$
\mu:=-\frac{1}{\left(\mathbf{g}^{-1}\right)^{\alpha \beta} \partial_{\alpha} t \partial_{\beta} u}=\frac{1}{\mathbf{B} u}
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Can show:

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$\mu=0$ signifies a shock (infinite density of characteristics and blowup of $\partial u$ )

## Proof philosophy

Big idea (Alinhac and Christodoulou): Solution remains rather smooth in geometric coordinates

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- $\partial_{\alpha} \sim \frac{1}{\mu} \frac{\partial}{\partial u}+\frac{\partial}{\partial t}+\frac{\partial}{\partial x^{2}}+\frac{\partial}{\partial x^{3}}$
- Hence, $\mu=0$ represents a degeneracy between Cartesian and geometric partial derivatives


## Infinite density of the characteristics



Figure: Infinite density of the characteristics $\mathcal{P}_{u}$ on $\mathcal{B}$

## Strictly convex sub-regime

The strictly convex sub-regime is easier to study:


Figure: Strictly convex crease and singular boundary in Cartesian coordinate space

## Null vectorfields

## Definition

Null vectorfields

$$
\begin{aligned}
L_{(g e o)}^{\alpha} & :=-\left(\mathbf{g}^{-1}\right)^{\alpha \beta} \partial_{\beta} u, \\
L^{\alpha} & :=\mu L_{(\text {geo })}^{\alpha}
\end{aligned}
$$

Easy to see:

$$
\Delta t=1
$$

In plane symmetry, $L$ agrees with the vectorfield defined explicitly in terms of Riemann invariants

## Vectorfield frames constructed from $u$

## Definition (Frame vectorfields)

- $X$ is $\Sigma_{t}$-tangent, left-pointing, satisfies $\mathbf{g}(X, X)=1$, and g-orthogonal to $\ell_{t, u}:=\Sigma_{t} \cap \mathcal{P}_{u}$
- $\breve{X}:=\mu X$ (satisfies $\breve{X} u=1$ )
- For $A=2,3, Y_{(A)}:=\mathbf{g}$-orthogonal projection of (rectangular) $\partial_{A}$ onto $\ell_{t, u}$


## Definition (Frame adapted to the characteristics)

The rescaled frame is:

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Big ideas:

- Derive regular estimates relative to the rescaled frame
- Shows that the solution and its
$\left\{L, \breve{X}, Y_{(2)}, Y_{(3)}\right\}$-derivatives remain rather smooth (equivalently, smooth w.r.t. $\left(t, u, x^{2}, x^{3}\right)$ and smooth in directions tangent to $\mathcal{P}_{u}$ )


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$\left\{L, \breve{X}, Y_{(2)}, Y_{(3)}\right\}$-derivatives remain rather smooth (equivalently, smooth w.r.t. ( $t, u, x^{2}, x^{3}$ ) and smooth in directions tangent to $\mathcal{P}_{u}$ )
- Big technical difficulty: High order geometric energies can blow up as $\mu \downarrow 0$ : $\mathbb{E}_{\text {Top }} \lesssim \mu^{-10}, \mathbb{E}_{\text {Top }-1} \lesssim \mu^{-8}, \cdots$, $\mathbb{E}_{\text {Mid }} \lesssim 1$


## A picture of the dynamics



## Statement of main results

## Theorem (JS and L. Abbrescia)

Fix a 1D simple, isentropic shock-forming background solution satisfying the transversal convexity condition $\left.\frac{\partial^{2}}{\partial u^{2}} \mu\right|_{\{\mu=0\}}>0$.

## Statement of main results

## Theorem (JS and L. Abbrescia)

Fix a $1 D$ simple, isentropic shock-forming background solution satisfying the transversal convexity condition $\left.\frac{\partial^{2}}{\partial u^{2}} \mu\right|_{\{\mu=0\}}>0$. Then:

- The shock formation is stable under general 3D perturbations of the data with vorticity and entropy.


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- Writing-in-progress: we give a complete description of a neighborhood of the Cauchy horizon $\underline{\mathcal{C}}$ that includes the entire crease.
- In total, we reveal a portion of the maximal (classical) globally hyperbolic development, including a neighborhood of the boundary.


## New results with L. Abbrescia



Figure: A localized subset of the maximal classical development and the shock hypersurface in Cartesian space

## The crease and the singular boundary

${ }^{(\text {Interesting })} \Sigma_{0}$


## Construction of $u$ for the Cauchy horizon

We construct an eikonal function $\underline{u}$ such that $\underline{\mathcal{C}} \subset\{\underline{u}=0\}$

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Then $\underline{u}$ is propagated via the eikonal equation:

$$
\left(\mathbf{g}^{-1}\right)^{\alpha \beta} \partial_{\alpha} \underline{u} \partial_{\beta} \underline{u}=0
$$

## The Cauchy horizon region



Figure: The Cauchy horizon region in Cartesian space

## Connection to wave equations

In isentropic plane symmetry, the equations reduce to $\boldsymbol{L \mathcal { R } _ { ( + ) }}=0, \underline{\mathcal{R}_{(-)}}=0$. In particular:

$$
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- There are many tools for geometric wave equations
- Also useful for low-regularity well-posedness


## New formulation of $3 D$ compressible Euler

Theorem (J. Luk-JS; M. Disconzi-JS in relativistic case)
Consider smooth compressible Euler solutions in 3D. For $\psi \in \vec{\psi}:=\left(\varrho, v^{1}, v^{2}, v^{3}, s\right)$, we have, schematically:

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(©) This can be achieved via div-curl-transport systems that enjoy good null structure. $\rightarrow$ With L. Abbrescia, we derived suitable "elliptic-hyperbolic" identities for $\frac{\nabla \times v}{\varrho}$ and $\nabla s$ on arbitrary globally hyperbolic domains for $3 D$ compressible Euler solutions


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Would require the development of new geometry.

## Burger's equation in $1 D$

Burgers' equation for $\Psi(t, x)$ :

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\begin{array}{rlr}
L \Psi & =0, & \Psi(0, x)=\overleftarrow{\psi}(x) \\
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\frac{d}{d t} \gamma_{z}(t)=L \circ \gamma_{z}(t), \quad \gamma_{z}(0)=(0, z) \in \Sigma_{0}
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- Solutions $\psi$ satisfy: $\psi \circ \gamma_{z}(t)=\psi \circ \gamma_{z}(0)=\stackrel{\Psi}{\psi}(z)$
- Second boxed equation is a Riccati-type ODE in $\partial_{x} \Psi$; typically, $\partial_{x} \Psi$ blows up in finite time.


## Singular curve

The set of singular points can be parameterized by $(0, z) \in \Sigma_{0}$ :

$$
\begin{aligned}
\mathcal{S}(z) & =\left(-\frac{1}{\frac{d}{d z} \stackrel{\circ}{\Psi}(z)}, z-\frac{(1+\stackrel{\circ}{\Psi}(z))}{\frac{d}{d z} \stackrel{\Psi}{\Psi}(z)}\right) \\
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& =\left.\frac{\frac{d^{2}}{d z^{2}} \stackrel{( }{\Psi}(z)}{\left(\frac{d}{d z} \stackrel{\Psi}{\Psi}(z)\right)^{2}} L\right|_{\dot{\Psi}(z)}
\end{aligned}
$$

## The Cartesian coordinates picture



Figure: The singular curve and shock hypersurface in Cartesian coordinates for $\Psi(x)=-x+\frac{1}{3} x^{3}$

## Crucial and under-appreciated fact

The Burgers' equation singularity is renormalizable. That is, the solution is globally smooth relative to well-constructed geometric coordinates.

## "Hiding" the singularity

By solving

$$
L u=0,\left.\quad u\right|_{t=0}=-x,
$$

we can construct geometric coordinates $(t, u)$ such that:

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\frac{\partial}{\partial t}:=\left.\frac{\partial}{\partial t}\right|_{u}=\partial_{t}+(1+\Psi) \partial_{x}=L=\partial_{t}+(1+\Psi) \partial_{x}
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Thus,

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\Psi(t, u)=\dot{\Psi}(u):=\Psi(0, u)
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## The inverse foliation density $\mu$

Set:

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\mu:=-\frac{1}{\partial_{x} u},\left.\quad \mu\right|_{t=0} \equiv 1
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Can easily solve:

$$
\mu(t, u)=1-t \frac{d}{d u} \Psi(u)
$$

## How to think about the singularity

CHOV relation $\Longrightarrow \partial_{x} \Psi$ blows up when $\mu \rightarrow 0$ :

$$
\partial_{x} \Psi=-\frac{1}{\mu} \frac{\partial}{\partial u} \Psi=-\frac{1}{\mu} \frac{d}{d u} \Psi(u)
$$

## The geometric coordinates picture

$$
\mathcal{B}_{\left(0, \frac{1}{2}\right]}=\mathcal{B}_{\left[-\frac{1}{2}, \frac{1}{2}\right]} \cap\left\{\frac{\partial}{\partial u} \mu>0\right\}, ~ \mathcal{B}_{\{0\}}=(1,0)=\text { crease }=\mathcal{B}_{\left[-\frac{1}{2}, \frac{1}{2}\right]} \cap\left\{\frac{\partial}{\partial u} \mu=0\right\}
$$

Figure: Portions $\mathcal{B}_{J}=\{\mu=0\} \cap\{u \in J\}$ for Burgers' equation in geometric coordinates with $\dot{\Psi}(u)=u-\frac{1}{3} u^{3}$

